

Appendix 1 - Counter Fraud & Investigation Directorate

Counter Fraud Work Plan to March 2018

Risk area	Tasks	Planned for	Current status
Council-wide	Plan Fraud Risk Assessment (FRA) workshops in these areas: <ul style="list-style-type: none"> • Department for Place • Department for People • Department of the Chief Executive 	Complete	Meetings were held between the CFID and Deputy Chief Executives and Chief Finance Officer to discuss and agree the delivery of the FRA process.
Council-wide	Conduct Fraud Risk Assessment workshops in these areas: <ul style="list-style-type: none"> • Department for Place • Department for People • Department of the Chief Executive 	Complete	The first phase of the FRA process has been completed for: <ul style="list-style-type: none"> • Procurement • South Essex Homes • Housing • Council Tax • NNDR • Registrars • Parking.
Council-wide	UK Bribery Act (UKBA) Compliance Review. A questionnaire will be distributed to all Managers to ensure UKBA compliance.	In Progress	This item is delayed due to the ICT system implementation difficulties.
Council-wide	Counter Money Laundering (CML) Compliance Review. A questionnaire will be distributed to all staff to ensure CML compliance.	In Progress	This item is delayed due to the ICT system implementation difficulties.
Council-wide	Counter-Fraud, Bribery and Corruption Policy to be updated.	In Progress	A revised policy has been drafted and is with the business areas to approve and comment.
Council-wide	Counter Money Laundering (CML) Policy to be updated.	In Progress	A revised policy taking account of the 4 th Money Laundering Directive has been drafted and is with the business areas to approve.

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Council-wide	Process the results from the Fraud Risk Assessment workshops & produce report and action plan to CMT.	Complete	These results are reported at Appendix 4
National Fraud Initiative, Data Matching Exercise	Investigate high level recommended data matches until the 2017 exercise is complete.	Will report progress made on quarterly basis	Results are being processed by individual business areas, this process is being managed by the CFID/Internal Audit Business Support Team.
Proactive Fraud Drives	Conduct proactive activity to disrupt and detect fraud affecting the council.	Will report progress made on quarterly basis	Proactive work continues to be undertaken across the high-risk areas. So far this year activity has been conducted in: <ul style="list-style-type: none"> • Social Care Finance • Parking Enforcement of Blue Badge misuse • Payment Card Fraud/ Money Laundering